# Welcome to Chem 263 Materials Chemistry

http://chem.ps.uci.edu/~lawm/chem263.html

An introductory graduate level course in materials chemistry for students interested in the structure, preparation, characterization, and properties of crystalline inorganic solids in bulk, thin film, and nanoscale form. Emphasis is on a chemistry approach to periodic solids, beginning with a survey of descriptive crystal chemistry, bonding, and structure-property relationships. Students will learn common preparation methods, the characterization of solids by diffraction, and classical and quantum models of electronic structure, with a particular focus on the properties and applications of semiconductors.

# Chemistry 263 Materials Chemistry

**Lecture:** MWF 11-11:50 am @ PSCB 240

Instructor: Professor Matt Law, 2127 NS II, lawm@uci.edu

Office Hour: Tu 1:30-2:30 pm, and by appointment

TA: Nick Drago, 2138 NS II, dragon@uci.edu
Discussion Section: Th 12:30 1:20 pm @ DSCP

Discussion Section: Th 12:30-1:20 pm @ PSCB 240

Office Hour: M 3-4 pm, and by appointment

Text: West: Solid State Chemistry and its Apps, 2<sup>nd</sup> Edition (purchase from UCI bookstore or online)

lecture slides and other readings available on website:

http://chem.ps.uci.edu/~lawm/chem263.html

#### **Main Texts**

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West: Solid State Chemistry & Its Applications, 2nd. Ed. (Wiley 2014)*
     Ashcroft and Mermin: Solid State Physics (Cengage 2016)*
Gersten/Smith: The Physics and Chemistry of Materials (Wiley 2001)*
             Hoffmann: Solids and Surfaces (VCH 1989)
    Sze: Physics of Semiconductor Devices, 3<sup>rd</sup> Ed. (Wiley 2007)*
       Kittel: Introduction to Solid State Physics (Wiley 2004)
         Burdett: Chemical Bonding in Solids (Oxford 1995)
            Fahlman: Materials Chemistry (Springer 2011)
Cheetham and Day: Solid State Chemistry: Techniques (Oxford 1987)
Cheetham and Day: Solid State Chemistry: Compounds (Oxford 1992)
Cox: The Electronic Structure and Chemistry of Solids (Oxford 1987)
    Wells: Structural Inorganic Chemistry (Clarendon Press 2012)
    Wold and Dwight: Solid State Chemistry (Chapman Hall 1993)
```

<sup>\* =</sup> recommended for purchase through your favorite bookshop

## **Grading:**

Problem Sets (4) 50%

Midterm Exam 25%

Term Paper 25%

#### **General Areas Covered:**

Structure and structural determination of crystalline solids

Chemical bonding in solids

Structure-property relationships

X-ray and electron diffraction

Synthesis methods

Phase equilibria

Electronic band structure

Semiconductors

**Nanomaterials** 

# **SYLLABUS**

Week	Topic(s)	Assignment
1	real space lattices & crystals	
2	descriptive crystal chemistry	
3	descriptive crystal chemistry	
4	diffraction and the reciprocal lattice	problem set 1 (Jan 27)
5	diffraction and the reciprocal lattice	
6	synthesis	problem set 2 (Feb 12)
7	phase diagrams	midterm exam (Feb 19)
8	phase diagrams/free electron model	
9	band theory	problem set 3 (Mar 2)
10	band theory/semiconductors	
11	finals week	problem set 4 (Mar 16) term paper and presentations (Fri, Mar 20)

campus holidays (no class): Mon, Jan 20 (MLK Day)
Mon, Feb 17 (Presidents' Day)

# Term Paper

Choose a contemporary materials topic that interests you. For example:

- Hybrid Perovskites
- Metamaterials
- Multiferroics
- Graphene / 2D Materials
- Photonic Crystals
- Amorphous Metals
- Colossal Magnetoresistance

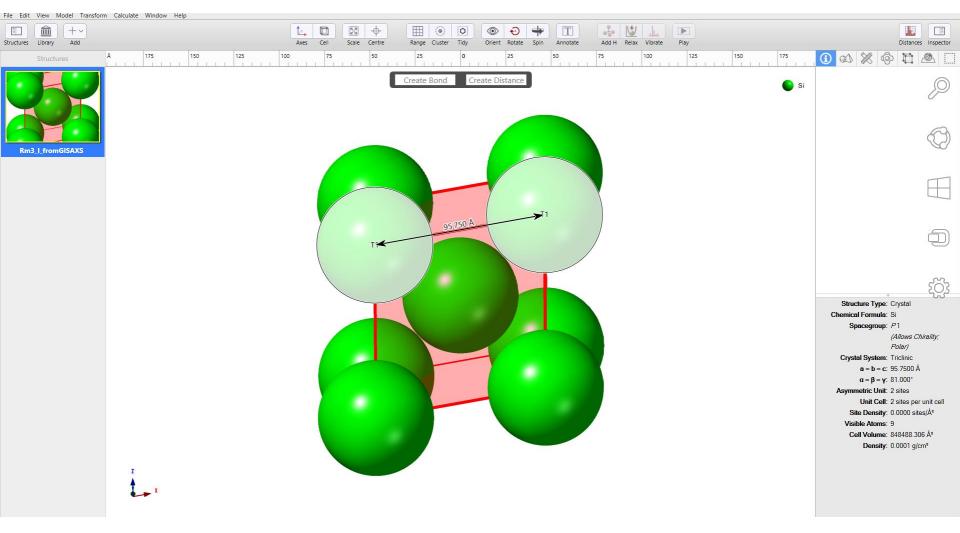
- Synthetic Biomaterials
- Infrared Photodetectors
- Conducting Polymers
- Inorganic Solar Cells
- Plasmonics
- High  $\kappa$  Dielectrics
- Quantum Dots

In  $\geq$ 10 pages of double-spaced text (+ figures and references):

- 1) Quantitatively explain the basic principles/background
- 2) Summarize the state-of-the-art in synthesis, properties, and apps
- 3) Identify a key challenge facing the field and propose an original solution to this challenge

# CrystalMaker Software

## Important visualization/measurement tool for crystals/molecules



# CLASSIFICATIONS OF SOLID MATERIALS

### Natural vs. artificial

Natural:

Wood

Ivory

Bone

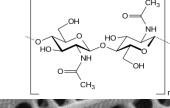
Fiber, Silk

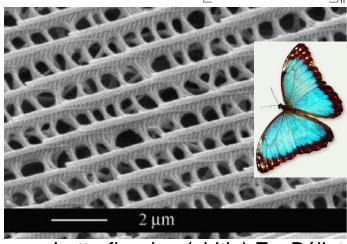
Rubber

Minerals

Ceramics

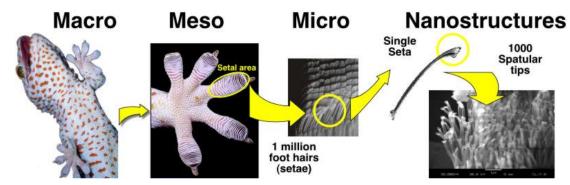
Metals





butterfly wing (chitin) Zs. Bálint

# Gecko adhesive system



### By property

Semiconductors

Metals and alloys

Ceramics

**Polymers** 

Dielectrics and ferroelectrics

Superconductors

Magnetic materials

Optical materials

## By application

High T<sub>C</sub> superconductors

Solar cells

Microelectronics

Surface engineering (Teflon)

Photonic computing

Magnetic disk materials

Superalloys

Structural composites

# QUEST FOR THE IDEAL MATERIAL

Synthesis  $\rightarrow$  composition + structure  $\rightarrow$  properties  $\rightarrow$  applications of solid materials Inorganic, organic, biological

- **Experimentalist:** Make/characterize/measure/remake
- **\*** Theoretician: predict structure/composition with particular properties (goal is "materials by design")

# **Characterization Techniques:**

Organic/molecular: NMR, IR, crystallography

**Solid state:** A to Z: X-ray/electron scattering,

microscopy, spectroscopy, scanning probes,

physical property characterization,

optical/electrical/magnetic properties, others

# MATERIALS GENOME INITIATIVE (MGI)

government initiative to discover and commercialize new materials at least twice as fast as done today, at a fraction of the cost.

- massive computing power for materials modeling
- advanced, rapid experimental characterization tools
- big data

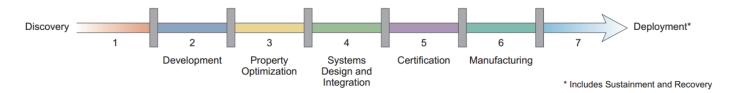


Figure 1: Materials development continuum

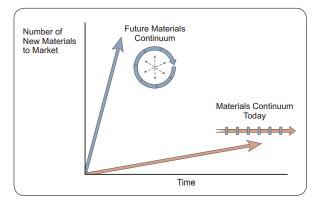


Figure 2: Initiative acceleration of the materials continuum

# **Superconductors**

1911, Kamerlingh-Onnes experiments on liquid He (a few ml)

Hg resistance: 0.08 ohm @ 5 K to 0.000003 ohm @ 4.2 K

1986, J. G. Bednorz, K. H. Müller (IBM)

La-Ba-Cu-O

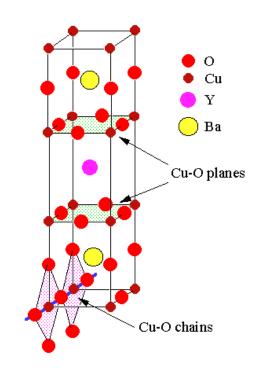
Oxide:  $T_c = 35 \text{ K}$ 

1987 Nobel prize in Physics

Y-Ba-Cu-O (YBCO):  $T_c = 92 \text{ K}$ 

best-to-date:  $T_c = 138 \text{ K}$ 

2008:  $La_{1-v}Sm_{v}O_{1-x}F_{x}FeAs$   $T_{c} = 55K$ 



# **Conducting Polymers**

**2000** Alan Heeger, Alan G. MacDiarmid, Hideki Shirakawa

#### Nobel Prize in Chemistry

- → organic light-emitting diodes (OLED)
- → organic field-effect transistors (OFET)
- → organic photovoltaics (OPV)





Metals, edited by D. H. Douglass (Plenum, New York and London, 1976), and by C. M. Varma and R. C. Dynes, ibid.

<sup>9</sup>We have chosen 0.5 eV somewhat arbitrarily to de-

fine D24. It is the energy near the F.S., scattering within which leads to over 90% of most of the anomalies. 10W. Kohn, Phys. Rev. Lett. 2, 393 (1959); A. W. Overhauser, Phys. Rev. Lett. 4, 415 (1960).

#### Electrical Conductivity in Doped Polyacetylene

C. K. Chiang, C. R. Fincher, Jr., Y. W. Park, and A. J. Heeger Department of Physics and Laboratory for Research on the Structure of Matter, University of Pennsylvania, Philadelphia, Pennsylvania 19104

H. Shirakawa, (a) E. J. Louis, S. C. Gau, and Alan G. MacDiarmid Department of Chemistry and Laboratory for Research on the Structure of Matter, University of Pennsylvania, Philadelphia, Pennsylvania 19104 (Received 23 June 1977)

Doped polyacetylene forms a new class of conducting polymers in which the electrical conductivity can be systematically and continuously varied over a range of eleven orders of magnitude. Transport studies and far-infrared transmission measurements imply a metal-to-insulator transition at dopant concentrations near 1%.

We find that films of the semiconducting polymer, polyacetylene, show a dramatic increase in electrical conductivity when doped with controlled amounts of the halogens chlorine, bomine, or iodine, and with arsenic pentafluoride (AsF.). The concentration dependence in combination with far-infrared transmission data suggests the occurrence of a metal-insulator transition as a function of dopant concentration.

Polyacetylene is one of the simplest linear conjugated polymers with a single-chain structure as shown in Fig. 1. Each carbon is σ bonded to one hydrogen and two neighboring carbon atoms consistent with  $sp^2$  hybridization. The  $\pi$  electrons are therefore available to delocalize into a band. In the idealized situation of a uniform chain, the resulting conduction band would give rise to metallic behavior. However, such a system is unstable with respect to bond alternation, which causes the formation of an energy gap in the electronic spectrum. Studies of  $\pi$ - $\pi$ \* transitions in short-chain polyenes show that the frequencies do not fall as  $n^{-2}$  as expected for a free-electron picture, but appear to saturate at  $\Delta E_{(\pi \text{ large})}^{\pi^{-}\pi^{+}}$ ≃ 2.4 eV.¹ Bond alternation is present in the polymer and would be expected to lead to semiconducting behavior. However, Ovchinnikov' has stimmated the bond-alternation energy gap to be too small and attributed the observed value to Coulomb correlation effects, i.e., a Hubbard gap.

In a series of studies Shirakawa and co-workers2-6 succeeded in synthesizing high-quality

polycrystalline films of (CH), and developed techniques for controlling the cis/trans content.4,5 These materials are semiconductors6: the trans isomer is the thermodynamically stable form at room temperature.

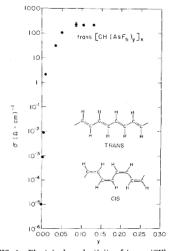
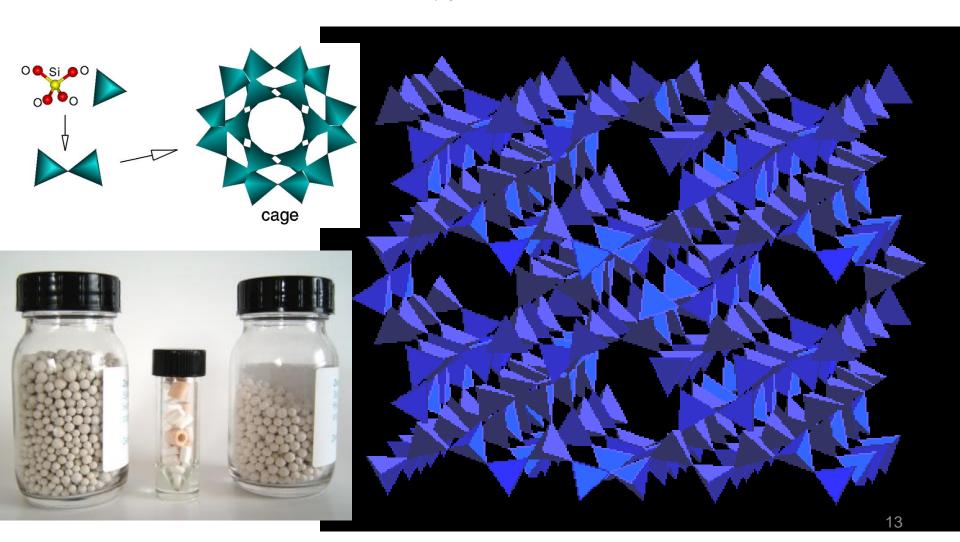


FIG. 1. Electrical conductivity of trans-(CH), as a function of (AsFs) dopant concentration. The trans and cis polymer structures are shown in the inset.

# **Zeolites**

catalysis, separation, purification, templating

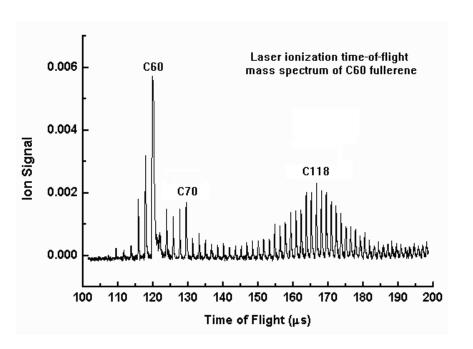
e.g.  $Na_x^+[(AlO_2)_x(SiO_2)_{96-x}] \cdot 16H_2O$  Zeolite ZSM-5

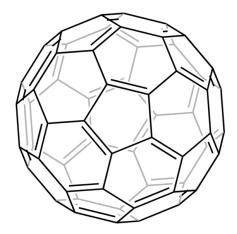


# $C_{60}$

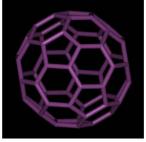
# buckyball; spherical fullerene allotrope of carbon 1996 Nobel prize in chemistry

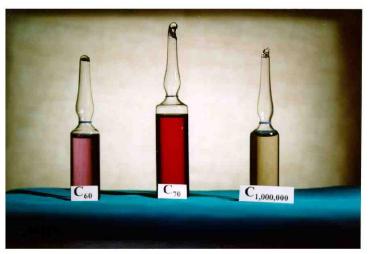
J.R. Heath, S.C. O'Brien, H.W. Kroto, R.F. Curl, R.E. Smalley, Nature **318**, 162, (1985)





# 20 hexagons 12 pentagons





Graphene

The rise of graphene

#### A. K. GEIM AND K. S. NOVOSELOV

Manchester Centre for Mesoscience and Nanotechnology, University of Manchester, Oxford Road, Manchester M13 9PL, UK

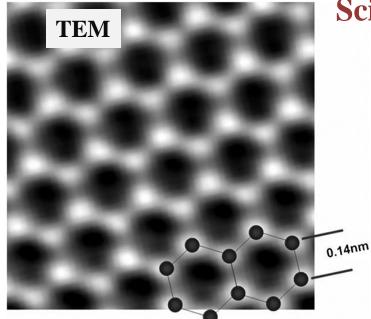
\*e-mail: geim@man.ac.uk; kostya@graphene.org

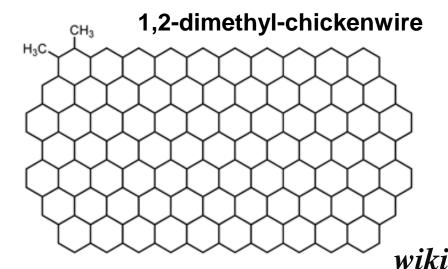
# Single atom thick sheet of $sp^2$ C - all the rage since 2004

2010 Nobel Prize in Physics awarded A. Geim, K. Novoselov RT mobilities >15,000 cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup>

- Extremely high freq. transistors
- spintronics

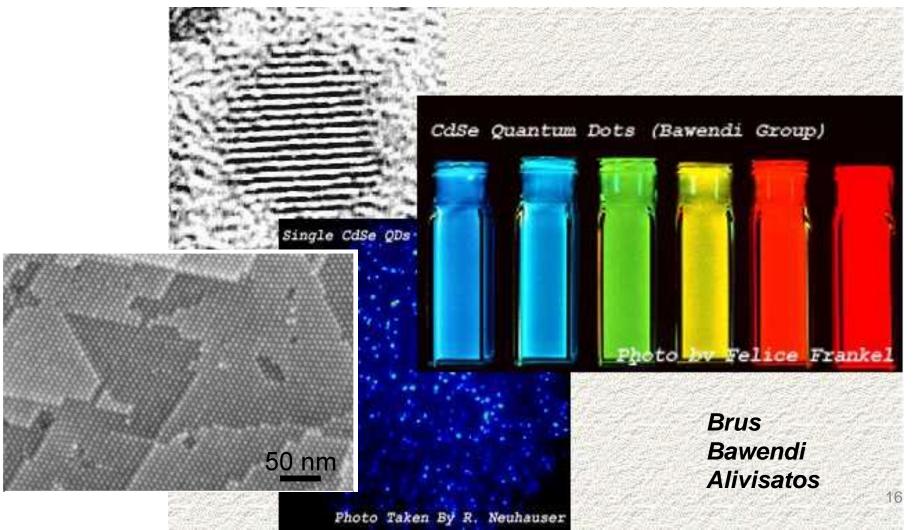
## Science made possible by Scotch® tape





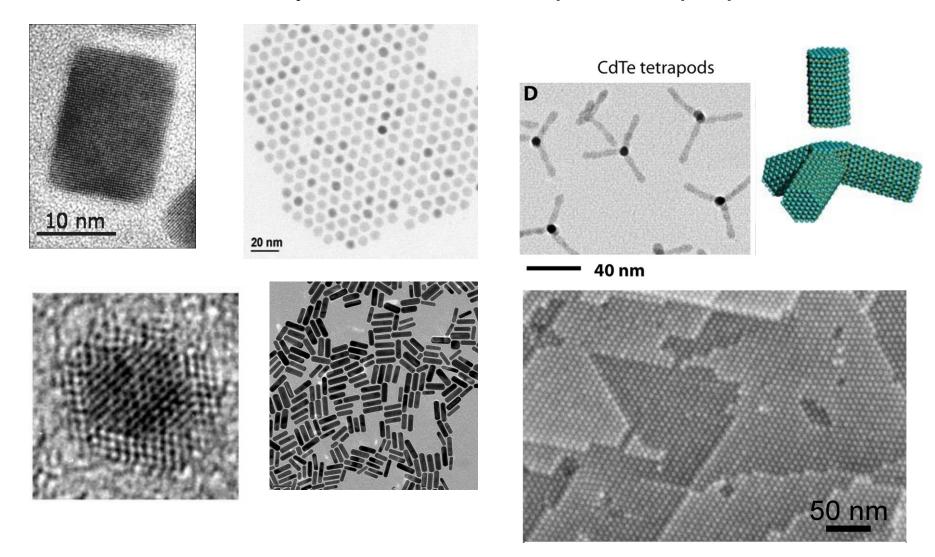
# Materials Chemistry is the foundation for the field of Nanoscience and technology.

**Semiconductor Quantum Dots** 



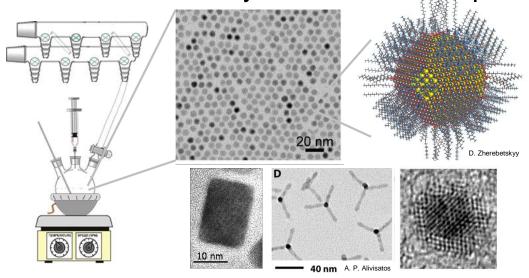
# Semiconductor quantum dots

Nanometer-sized crystals with size-dependent properties

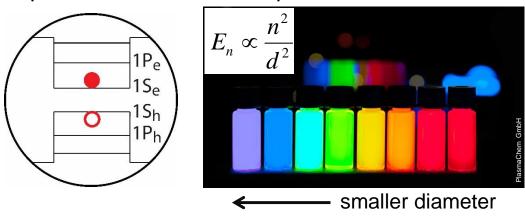


# **Quantum Dot Basics**

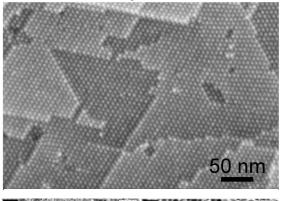
Nanometer-sized crystals with size-dependent properties

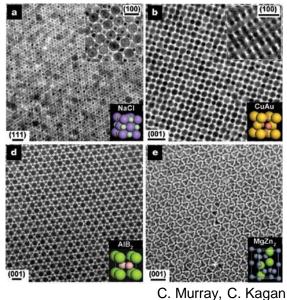


quantum confinement - particle in a box

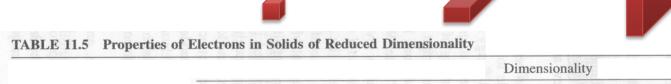


self-assembly from solution

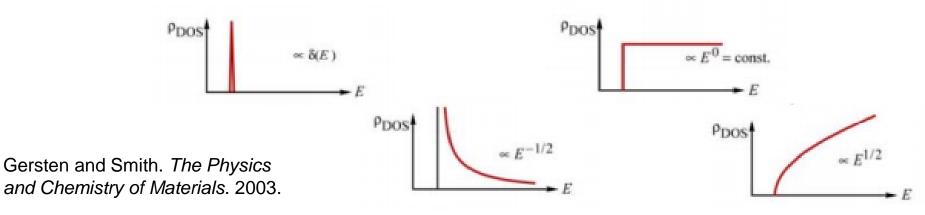




Electrons in solids of reduced dimensionality



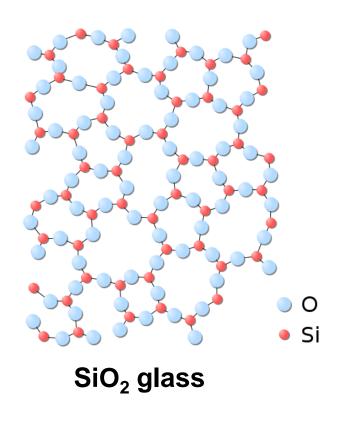
	Dimensionality			
	d = 0 (Quantum Dot)	d = 1 (Quantum Wire)	d = 2 (Quantum Well)	d = 3 (Bulk)
$\psi_{\mathbf{k}}(\mathbf{r})^a$	$A \sin k_x x (\sin k_y y) (\sin k_z z)$	$A \sin k_x x (\sin k_y y) e^{ik_z z}$	$A(\sin k_x x)e^{i(k_y y + k_z z)}$	$Ae^{i(k_xx+k_yy+k_zz)}$
$E(\mathbf{k})^b = E(k_x) + E(k_y) + E(k_z); n_x, n_y, n_z = 1, 2, 3,$	$\frac{h^2}{8m_e^*} \left( \frac{n_x^2}{L_x^2} + \frac{n_y^2}{L_y^2} + \frac{n_z^2}{L_z^2} \right)$	$\frac{h^2}{8m_e^*} \left( \frac{n_x^2}{L_x^2} + \frac{n_y^2}{L_y^2} \right) + \frac{\hbar^2 k_z^2}{2m_e^*}$	$\frac{h^2 n_x^2}{8m_e^* L_x^2} + \frac{\hbar^2 (k_y^2 + k_z^2)}{2m_e^*}$	$\frac{\hbar^2(k_x^2 + k_y^2 + k_z^2)}{2m_e^*}$
$ ho_e(E)^{b,c}$	Discrete states	$rac{\sqrt{2m_e^*}}{\pi\hbar L_x L_y} E^{-1/2}$	$rac{m_e^*}{\dot{\pi}\hbar^2 L_{\scriptscriptstyle X}}$	$\frac{1}{2\pi^2} \left(\frac{2m_e^*}{\hbar^2}\right)^{3/2} E^{1/2}$
$k_F{}^d$		$rac{\pi n L_x L_y}{2}$	$\sqrt{2\pi n L_x}$	$(3\pi^2 n)^{1/3}$

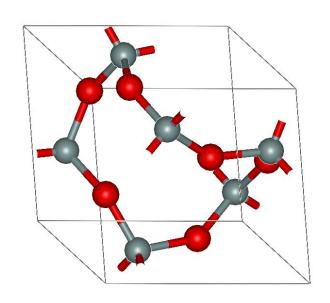


# AMORPHOUS VS. CRYSTALLINE SOLIDS

Amorphous materials: No long-range structural order

Crystalline materials: Possess long-range periodicity





 $SiO_2$  crystal ( $\alpha$ -quartz)

trigonal crystal, space group P3<sub>1</sub>21 or P3<sub>2</sub>21

selective formation requires favourable kinetics

# STRUCTURE OF CRYSTALS

Reading: New West Ch. 1

AM Ch. 4, 7

Old West Ch. 6

GS Ch. 1

**Ideal Crystal:** A perfect periodic array of atoms/ions/molecules.

- Represented by an infinite array of points (*the lattice*) with one or more atoms attached to each lattice point (*the basis*).

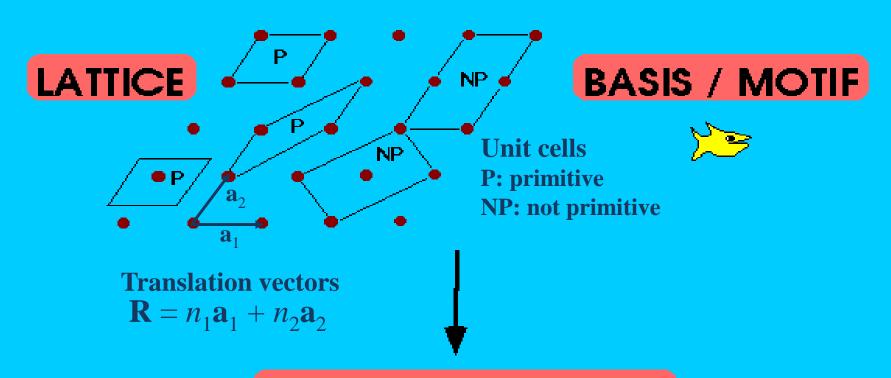
Lattice: An infinite array of points in space

Crystal structure: The periodic arrangement of atoms/ions/molecules in a crystal.

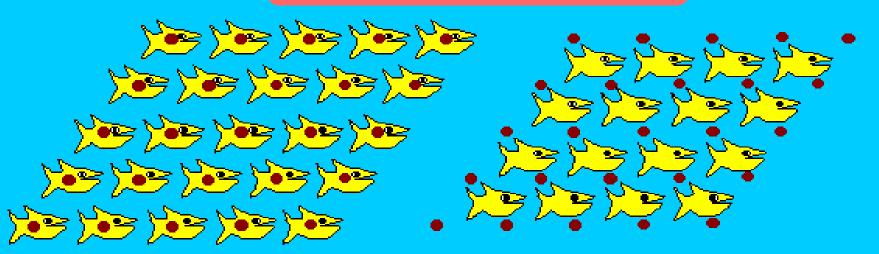
It can be described by associating with each lattice point a group of atoms called the basis (or motif)

**Unit Cell:** A volume of the crystal that, via pure translational repetition, generates the entire crystal without overlaps or voids.

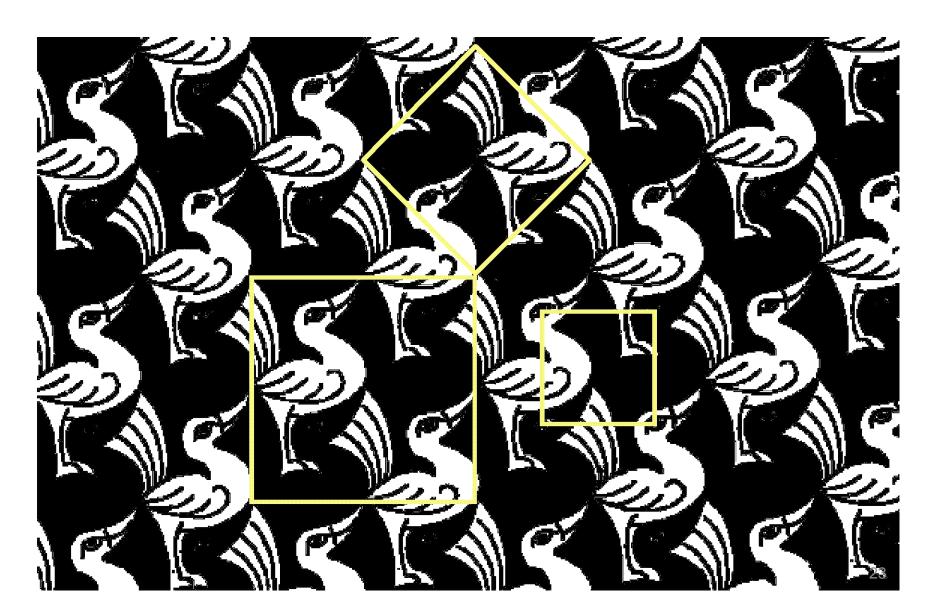
Primitive Cell: smallest cell, contains one lattice point, needn't reflect full symmetry Conventional Cell: nonprimitive, bigger unit cell that reflects full crystal symmetry

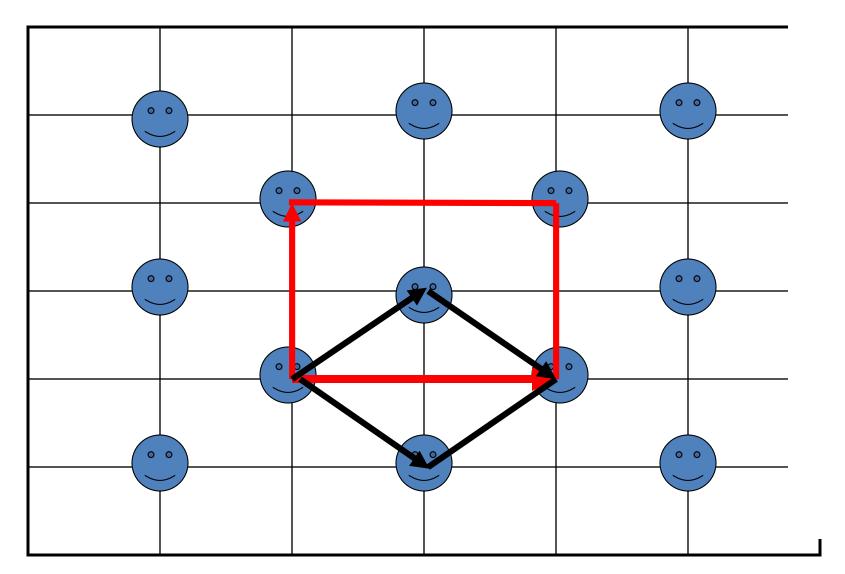


# **CRYSTAL STRUCTURE**



# **Unit Cells?**





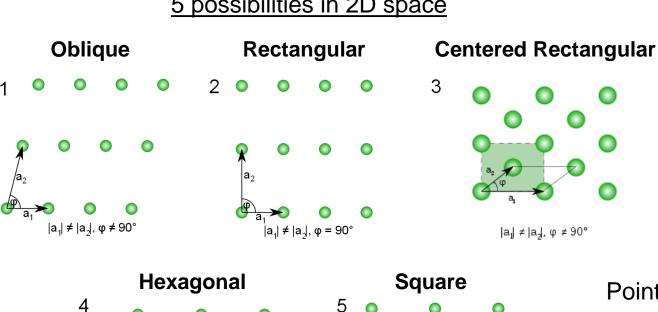
**Conventional cell** vs. **Primitive Cell** 

Reflecting the symmetry
Different Basis

# 2D BRAVAIS LATTICES

Bravais Lattice: An infinite array of points with an arrangement and orientation that looks exactly the same from any lattice point

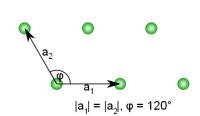
#### 5 possibilities in 2D space



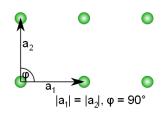


**Bravais** *(~1850)* 





# 5



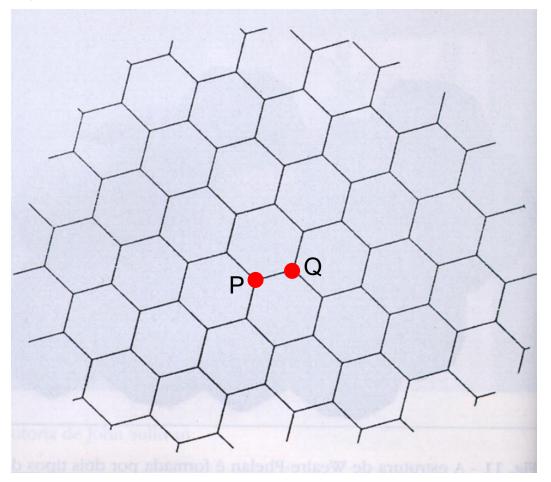
Points are generated by translation operations

$$\mathbf{R} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2$$

- **a**<sub>i</sub> are primitive vectors
- $n_1$ ,  $n_2$  are integers

## **EXAMPLE OF A NON-BRAVAIS LATTICE**

### The 2D honeycomb

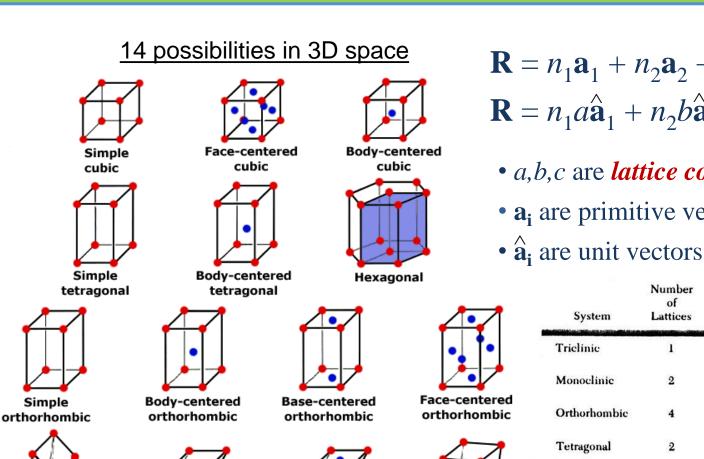


different orientations at points P and  $Q \rightarrow$  not a Bravais lattice (it's a hexagonal lattice with a 2-point basis)

# 3D BRAVAIS LATTICES

The symmetry-unique lattices that fill space without gaps or overlaps between cells.

**Triclinic** 



Base-centered

monoclinic

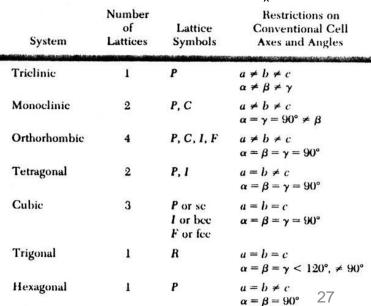
Simple

Monoclinic

Rhombohedral

(aka trigonal)

$\mathbf{R} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3$	
$\mathbf{R} = n_1 a \hat{\mathbf{a}}_1 + n_2 b \hat{\mathbf{a}}_2 + n_3 c$	$\hat{\mathbf{a}}_3$
• <i>a,b,c</i> are <i>lattice constants</i>	Z C
• <b>a</b> <sub>i</sub> are primitive vectors	β



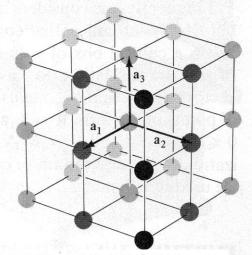
 $y = 120^{\circ}$ 

b\ y

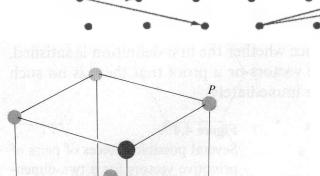
# PRIMITIVE VECTORS



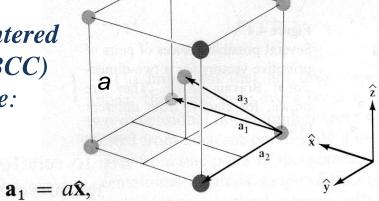
## Simple cubic



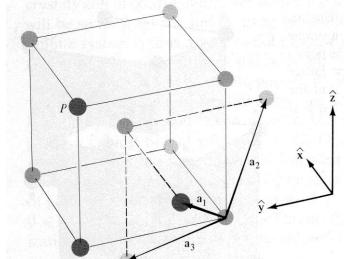
The oblique net:



Body-centered cubic (BCC) lattice:



 $\mathbf{a}_2 = a\mathbf{\hat{y}}, \quad \mathbf{a}_3 = \frac{a}{2}(\mathbf{\hat{x}} + \mathbf{\hat{y}} + \mathbf{\hat{z}}).$ 

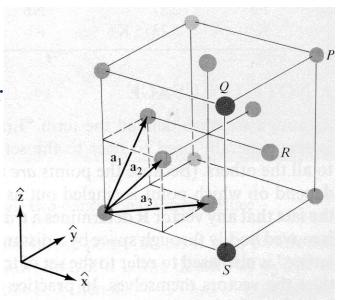


or

$$\mathbf{a}_1 = \frac{a}{2}(\mathbf{\hat{y}} + \mathbf{\hat{z}} - \mathbf{\hat{x}}), \quad \mathbf{a}_2 = \frac{a}{2}(\mathbf{\hat{z}} + \mathbf{\hat{x}} - \mathbf{\hat{y}}),$$
$$\mathbf{a}_3 = \frac{a}{2}(\mathbf{\hat{x}} + \mathbf{\hat{y}} - \mathbf{\hat{z}}).$$

# PRIMITIVE VECTORS CONT.

Face-centered cubic (FCC) lattice:



#### Primitive vectors:

$$\mathbf{a}_1 = \frac{a}{2} (\hat{\mathbf{y}} + \hat{\mathbf{z}}),$$

$$\mathbf{a}_2 = \frac{a}{2}(\mathbf{\hat{z}} + \mathbf{\hat{x}}),$$

$$\mathbf{a}_3 = \frac{a}{2}(\mathbf{\hat{x}} + \mathbf{\hat{y}}).$$

#### Monatomic BCC and FCC lattices are very common

Table 4.2
ELEMENTS WITH THE MONATOMIC BODY-CENTERED
CUBIC CRYSTAL STRUCTURE

ELEMENT	a (Å)	ELEMENT	a (Å)	ELEMENT	a (Å)
Ba	5.02	Li	3.49 (78 K)	Ta	3.31
Cr	2.88	Mo	3.15	Tl	3.88
Cs	6.05 (78 K)	Na	4.23 (5 K)	V	3.02
Fe	2.87	Nb	3.30	W	3.16
K	5.23 (5 K)	Rb	5.59 (5 K)		

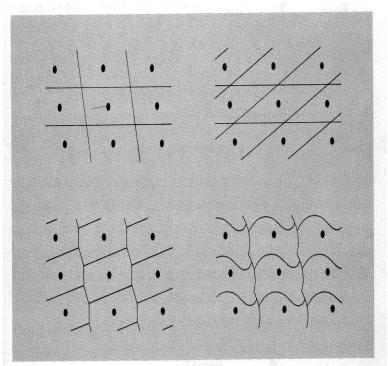
Table 4.1
ELEMENTS WITH THE MONATOMIC FACE-CENTERED
CUBIC CRYSTAL STRUCTURE

ELEMENT	a (Å)	ELEMENT	a (Å)	ELEMENT	a (Å)
Ar	5.26 (4.2 K)	Ir	3.84	Pt	3.92
Ag	4.09	Kr	5.72 (58 K)	$\delta$ -Pu	4.64
AI	4.05	La	5.30	Rh	3.80
Au	4.08	Ne	4.43 (4.2 K)	Sc	4.54
Ca	5.58	Ni	3.52	Sr	6.08
Ce	5.16	Pb	4.95	Th	5.08
β-Co	3.55	Pd	3.89	Xe (58 K)	6.20
Cu	3.61	Pr	5.16	Yb	5.49

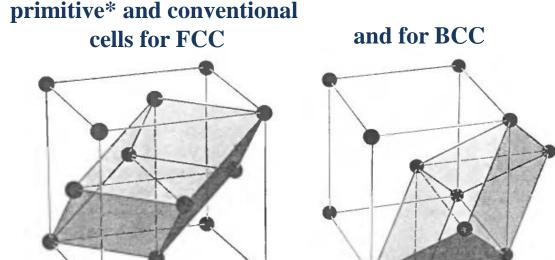
# PRIMITIVE UNIT CELLS

The smallest volume of space that can generate the entire crystal when translated through <u>all</u> primitive translation vectors of the Bravais lattice

- contains only one lattice point
- not unique
- any two primitive cells of a given lattice have equal areas/volumes



area or volume = 1/n n = lattice point density



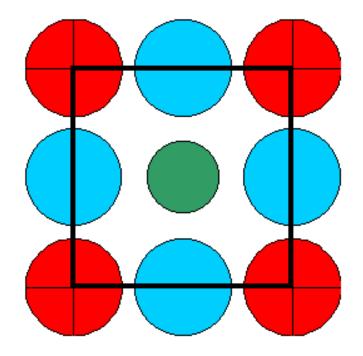
\*8 lattice points shared by 8 cells = 1 point per cell

P.C. is  $\frac{1}{2}$  the volume

P.C. is ½ the volume

## Unit cell contents

Counting the number of atoms belonging to the unit cell



Many atoms are shared between unit cells

## UNIT CELL ATOM ACCOUNTING

#### **Atoms**

**Shared Between:** 

#### Each atom counts:

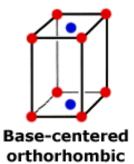
corner face center body center edge center 4 cells

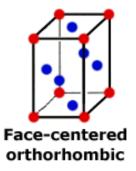
8 cells 2 cells 1 cell

1/8 1/2 1/4

Simple orthorhombic







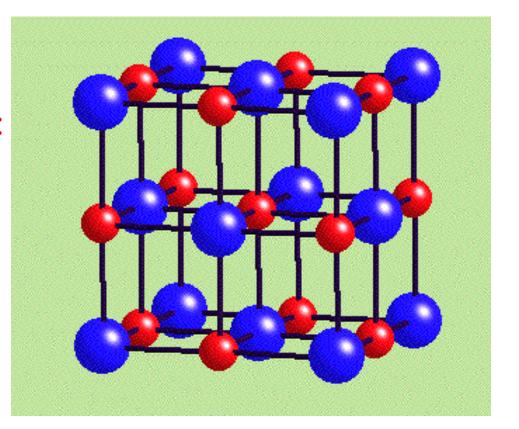
$$8 \times 1/8 = 1$$

$$8 \times 1/8 + 2 \times 1/2 = 2$$

$$8 \times 1/8 + 1 \times 1 = 2$$

$$8 \times 1/8 + 4 \times 1/2 = 4$$

Consider sodium chloride: rock salt (not Bravais)



Cl at corners:  $(8 \times 1/8) = 1$ Na at edge centers  $(12 \times 1/4) = 3$  Cl at face centres  $(6 \times 1/2) = 3$ Na at body centre = 1

Unit cell contents are 4(Na<sup>+</sup>Cl<sup>-</sup>)

# WIGNER-SEITZ PRIMITIVE CELL

#### Primitive cell with the full symmetry of the Bravais lattice

• contains one lattice point at its center + full symmetry

#### To construct:

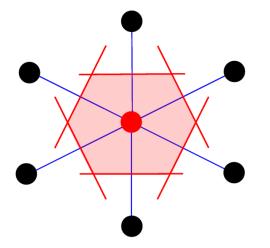
- pick a lattice point
- 2) draw lines to all neighboring lattice points
- draw perpendicularly bisecting planes 3)





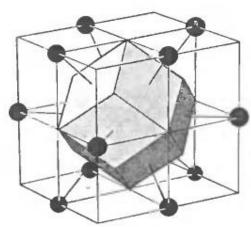
Wigner (laureate)

Seitz

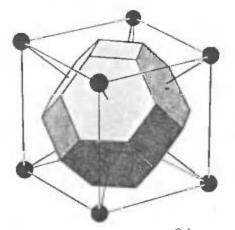


enclosed region is W-S cell for 2D hexagonal lattice

FCC: a rhombic dodecahedron a truncated octahedron



**BCC**:

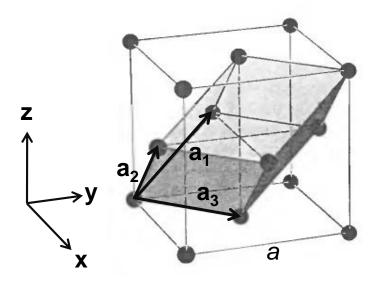


# CONVENTIONAL UNIT CELLS

A larger volume of space that possesses the full crystal symmetry and generates the entire crystal when translated through <u>some subset</u> of the primitive lattice vectors

## **FCC** lattice

- trigonal primitive cell
- 4-fold larger cubic conventional cell



### Two ways to fill space

translate primitive cell:

$$\mathbf{R}_{\mathbf{prim}} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3$$

translate conventional cell:

$$\mathbf{R_{conv}} = n_1 a \hat{\mathbf{x}} + n_2 a \hat{\mathbf{y}} + n_3 a \hat{\mathbf{z}}$$

#### Primitive vectors:

$$\mathbf{a}_1 = \frac{a}{2}(\hat{\mathbf{y}} + \hat{\mathbf{z}}), \quad \mathbf{a}_2 = \frac{a}{2}(\hat{\mathbf{z}} + \hat{\mathbf{x}}), \quad \mathbf{a}_3 = \frac{a}{2}(\hat{\mathbf{x}} + \hat{\mathbf{y}}).$$

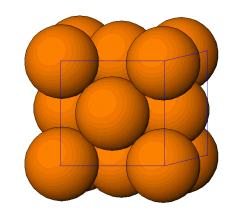
## CONVENTIONAL UNIT CELLS

A Bravais lattice can be described as a lattice with a basis by choosing a conventional unit cell

## **FCC** lattice

simple cubic lattice spanned by  $a\hat{\mathbf{x}}$ ,  $a\hat{\mathbf{y}}$ , and  $a\hat{\mathbf{z}}$  with a 4-point basis

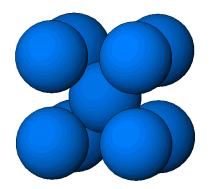
$$\mathbf{0}, \quad \frac{a}{2}(\mathbf{\hat{x}} + \mathbf{\hat{y}}), \quad \frac{a}{2}(\mathbf{\hat{y}} + \mathbf{\hat{z}}), \quad \frac{a}{2}(\mathbf{\hat{z}} + \mathbf{\hat{x}})$$



## **BCC** lattice

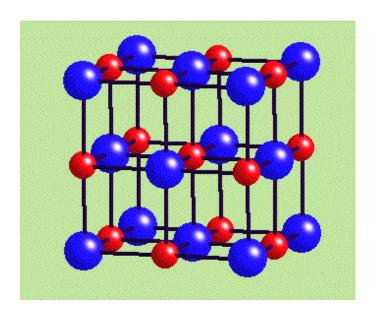
simple cubic lattice spanned by  $a\hat{\mathbf{x}}$ ,  $a\hat{\mathbf{y}}$ , and  $a\hat{\mathbf{z}}$  with a 2-point basis

$$\mathbf{0}, \ \frac{a}{2}(\mathbf{\hat{x}} + \mathbf{\hat{y}} + \mathbf{\hat{z}})$$



A non-Bravais crystal structure can be described as a Bravais lattice with a basis

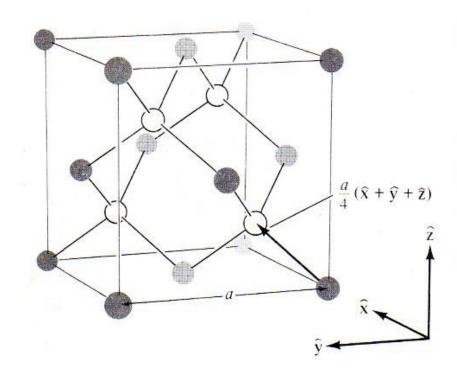
#### **NaCl structure**



#### alternative descriptions

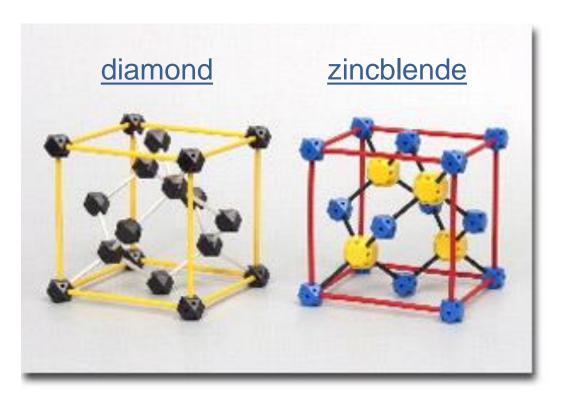
- FCC lattice with a two-point basis 0 (Cl) and  $(a/2)(\hat{x} + \hat{y} + \hat{z})$  (Na)
- two interpenetrating FCC lattices offset by ½ of a body diagonal

#### diamond structure



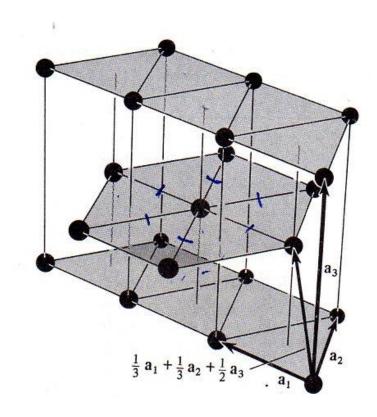
- FCC lattice with a two-point basis 0 and  $(a/4)(\hat{x} + \hat{y} + \hat{z})$
- two interpenetrating FCC lattices offset by 1/4 of a body diagonal

#### zincblende (ZnS) structure



- FCC lattice with a two-point basis  $\mathbf{0}$  (Zn) and  $(a/4)(\mathbf{\hat{x}} + \mathbf{\hat{y}} + \mathbf{\hat{z}})$  (S)
- two interpenetrating FCC lattices with different atoms, offset by ¼ of a body diagonal

#### hexagonal close packed (hcp) structure

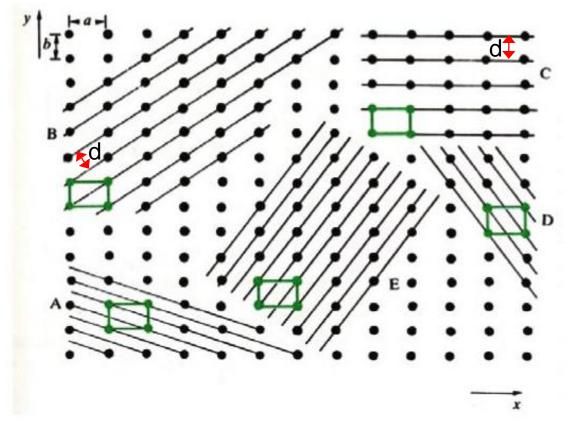


- A simple hexagonal lattice with a two-point basis, 0 and  $\mathbf{a_1}/3 + \mathbf{a_2}/3 + \mathbf{a_3}/2$
- two interpenetrating simple hexagonal lattices offset by  $\mathbf{a_1}/3 + \mathbf{a_2}/3 + \mathbf{a_3}/2$

## MILLER INDICES

In crystallography, it is convenient to describe planes and directions with respect to the crystal lattice using a set of three integers (*hkl*) called *Miller indices* (1839)

This lattice is divided into many different sets of rows, each with a different d-spacing

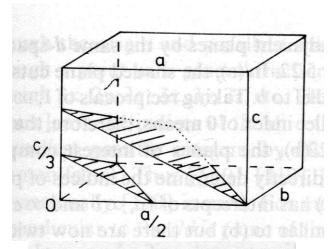


In 3D, these rows become sets of planes

## MILLER INDICES FOR PLANES

#### Definition #1: inverse intercepts in the real lattice

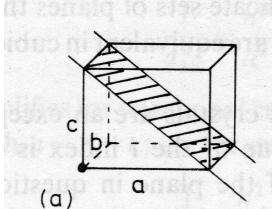
- 1) Find the intercepts with the cell axes as fractions of the lattice constants a, b, c
- 2) Take the reciprocals of these numbers to give three integers, denote them (hkl)



Intercepts: 1/2, 1, 1/3

Reciprocals: 2, 1, 3

Miller index: (213)



Intercepts:  $1, \infty, 1$ 

Reciprocals: 1, 0, 1

Miller index: (101)

# MILLER INDICES

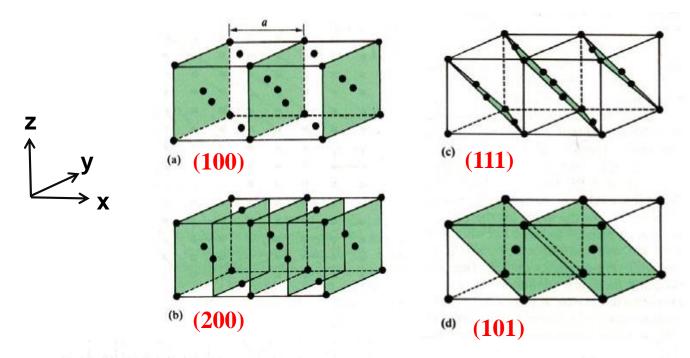
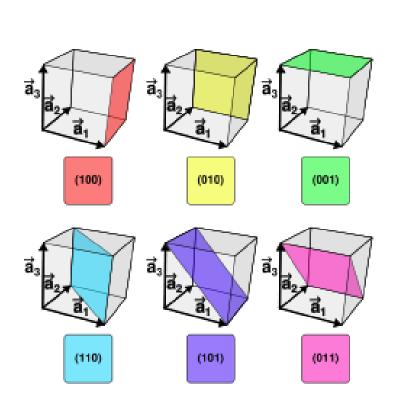
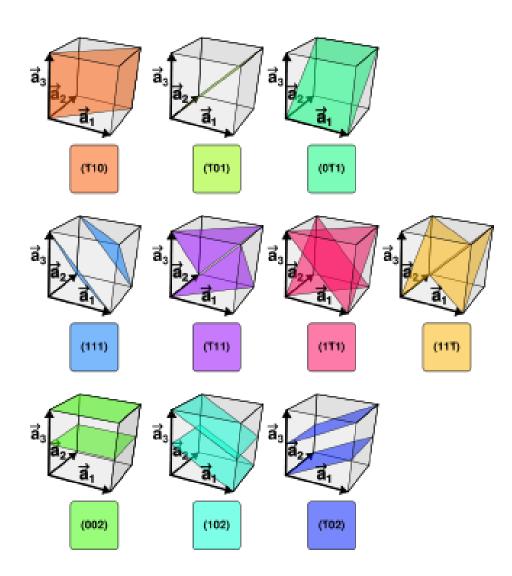


Table 1 Miller Indices and Their Represented Plane or Direction of a Crystal Surface

Miller Indices	Description of plane or direction
(hkl)	For a plane that intercepts $1/h$ , $1/k$ , $1/l$ on the x-, y-, and z-axis, respectively.
$(\bar{h}kl)$	For a plane that intercepts the negative <i>x</i> -axis.
$\{hkl\}$	For a full set of planes of equivalent symmetry, such as $\{100\}$ for $(100)$ , $(010)$ , $(001)$ , $(\bar{1}00)$ , $(0\bar{1}0)$ , and $(00\bar{1})$ in cubic symmetry.
[ <i>hkl</i> ]	For a direction of a crystal such as [100] for the x-axis.
$\langle hkl \rangle$	For a full set of equivalent directions.
(hkil)	For a plane in a hexagonal lattice (such as wurtzite) that intercepts $1/h$ , $1/k$ , $1/i$ , $1/l$ on the $a_1$ -, $a_2$ -, $a_3$ -, and z-axis, respectively (Fig. 1g).

# MILLER INDICES FOR PLANES

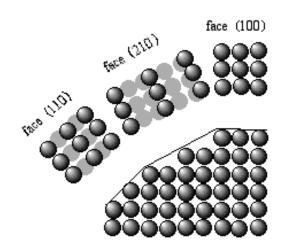




## MILLER INDICES FOR PLANES

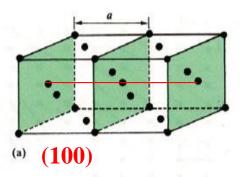
#### **Important points:**

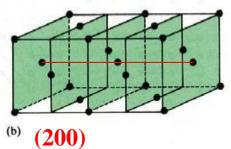
- Lattice planes need not coincide with a layer of atoms
- (hkl) refers to the entire set of parallel planes with the same d-spacing
- If a Miller index is zero, the plane is parallel to that axis
- Multiplying or dividing (hkl) by a constant has no effect on the orientation of the plane, but it does change the d-spacing
- Miller indices with four integers (hkil) are often used for hexagonal lattices
- The most common surfaces are those with low Miller indices, since such planes have the highest concentrations of atoms (lowest energy)

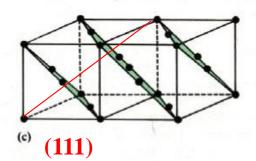


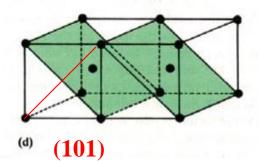
# D-SPACINGS: Cubic Example

for Silicon (cubic with 
$$a=5.43$$
 Å): (100)  $d_{100}=5.43$  Å (200)  $d_{200}=a/2=2.72$  Å (111)  $d_{111}=a/\sqrt{3}=3.14$  Å (101)  $d_{101}=a/\sqrt{2}=3.84$  Å









Length of body diagonal:  $a\sqrt{3}$ 

{111} d-spacing: 
$$\frac{a\sqrt{3}}{3}$$

Length of face diagonal:  $a\sqrt{2}$ 

{101} d-spacing: 
$$\frac{a\sqrt{2}}{2}$$

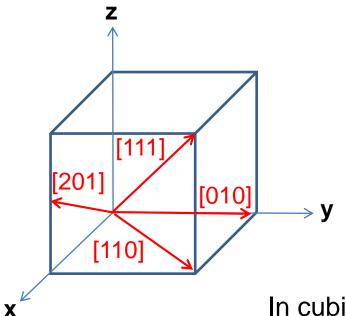
# **D-SPACINGS**

#### d-spacing formulae for any lattice symmetry:

Cubic	$\frac{1}{d^2} = \frac{h^2 + k^2 + l^2}{a^2}$	[2] 그리고 [2] 그리고 하면 하는 것이 있다. 그렇게 그렇게 되면 하는 사람들이 되었다. 그리고 그리고 하는 것이 없는데 그리고 있다.	d-spacings for an or- = 3.0, $b = 4.0$ , $c = 5.0$ Å
ie iedroongs eegsteede 2000 - Brooms Asia	$1   h^2 + k^2   l^2$	hkl	d(Å)
Tetragonal	$\frac{1}{d^2} = \frac{1}{a^2} + \frac{1}{c^2}$	h toolhadily 001 hard igo	Settle 5.00 plates of
003 1/4	$1  h^2  k^2  1^2$	miz ado ado 010 de 50	4.00
Orthorhombic	1 1100 Property to	011 100	3.12 3.00
ormemonio.	$\frac{1}{d^2} = \frac{1}{a^2} + \frac{1}{b^2} + \frac{1}{c^2}$	100	2.57
Hexagonal	1 1/12   11   12 \ 12	110	2.40
	$\frac{1}{d^2} = \frac{4}{3} \left( \frac{h^2 + hk + k^2}{a^2} \right) + \frac{l^2}{c^2}$	el ne chèmique 111 de el sière. Le reculor de la come de contro	2.16
Monoclinic	$\frac{1}{d^2} = \frac{1}{\sin^2 \beta} \left( \frac{h^2}{a^2} + \frac{k^2 \sin^2 \beta}{b^2} + \frac{l^2}{c^2} - \frac{2hl\cos \beta}{ac} \right)$	$\left(\frac{\beta}{2}\right)$	
Triclinic	$\frac{1}{d^2} = \frac{1}{V^2} \left[ h^2 b^2 c^2 \sin^2 \alpha + k^2 a^2 c^2 \sin^2 \beta \right]$		
	$+ l^2 a^2 b^2 \sin^2 \gamma + 2hkabc^2 (\cos \alpha \cos \beta + 2kla^2 bc(\cos \beta \cos \gamma - \cos \alpha)$	$(3-\cos\gamma)$	
	$+2hlab^2c(\cos\alpha\cos\gamma-\cos\beta)$		

## CRYSTALLOGRAPHIC DIRECTIONS

[hkl] indicates a direction in a crystal, defined in the normal way from the origin

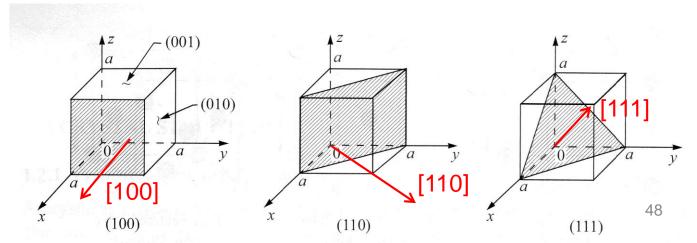


#### **Lattice Directions**

*Individual directions: [hkl]* 

*Set of equivalent directions: <hkl>* 

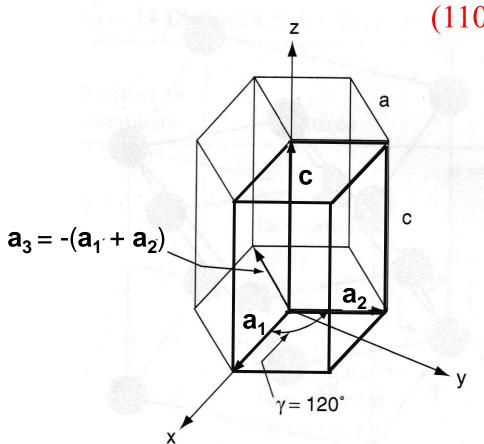
In cubic crystals, [hkl] is perpendicular to (hkl).



## INDICES for HEXAGONAL LATTICES

It is best to use four indices (*hkil*) to describe hexagonal lattices since the non-orthogonal equatorial axes make (*hkl*) notation ambiguous

For example, (110) and ( $\overline{1}20$ ) are equivalent planes in hex. systems, but this is not obvious unless the four-index notation is used.



$$(110) \equiv (11\overline{2}0) \qquad (\overline{1}20) \equiv (\overline{1}2\overline{1}0)$$

- Primitive cell in bold
- Conventional cell also shown
- Lattice constants: a, c
- 4 vectors: **a**<sub>1</sub>, **a**<sub>2</sub>, **a**<sub>3</sub> and **c**

#### **Primitive vector transformations**

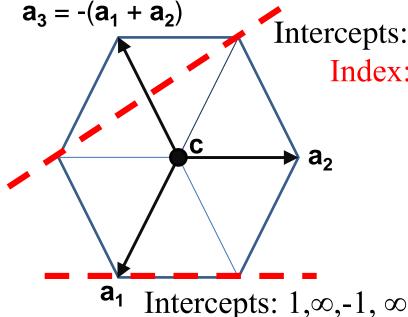
$$\mathbf{a_1} = a\hat{\mathbf{x}}$$

$$\mathbf{a_2} = a[-\hat{\mathbf{x}} + \sqrt{3}\hat{\mathbf{y}}]/2$$

$$\mathbf{c} = c\hat{\mathbf{z}}$$

# **HEXAGONAL LATTICE PLANES**

#### plan view of 4-axis basis



- Intercepts: -1,-1, 1/2,  $\infty$ Index:  $(\overline{11}20) \equiv (\overline{11}0)$ 
  - for planes, h + k + i = 0
  - *i* is redundant and can be omitted:  $(hkil) \rightarrow (hkl)$

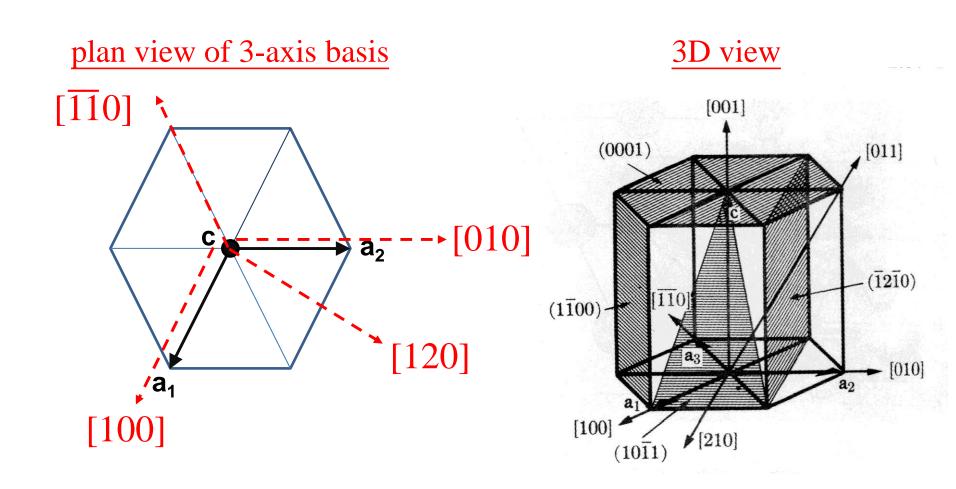
Index:  $(10\overline{1}0) \equiv (100)$ 

there are six {100}, six {110} planes, and two basal planes, {0001}

 $\{100\} = (10\overline{1}0),\ (\overline{1}010), (1\overline{1}00),\ (\overline{1}100), (01\overline{1}0),\ (0\overline{1}10),\ (0\overline{1}10)$ 

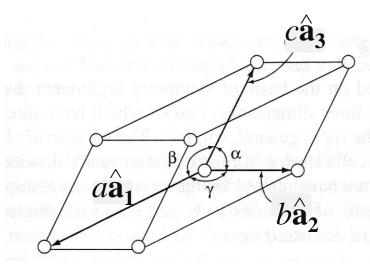
## HEXAGONAL LATTICE DIRECTIONS

We use [hkl] rather than [hkil] to specify lattice directions



## **UNIT CELL VOLUMES**

$$V_{cell} = |a\hat{\mathbf{a}}_1 \cdot (b\hat{\mathbf{a}}_2 \times c\hat{\mathbf{a}}_3)|$$



#### lattice specific formulae:

Cubic  $V=a^3$ Tetragonal  $V=a^2c$ Orthorhombic V=abcHexagonal  $V=(\sqrt{3}a^2c)/2=0.866a^2c$ Monoclinic  $V=abc\sin\beta$ Triclinic  $V=abc(1-\cos^2\alpha-\cos^2\beta-\cos^2\gamma+2\cos\alpha\cos\beta\cos\gamma)^{1/2}$ 

## SYMMETRY OF BRAVAIS LATTICES

By definition, all 14 Bravais lattices have translational invariance

Each Bravais lattice also has *point symmetry* (invariance under point operations)

Point symmetry refers to symmetry elements in which at least one point stays fixed during the symmetry operation

		Ν	otation	(a) 0 (b) 0 0 Si
	Symmetry element	Hermann-Mauguin (crystallography)	Schönflies (spectroscopy)	$\psi_{n=3}$ $\psi_{n=2}$
Point symmetry	Mirror plane Rotation axis Inversion axis Alternating axis (rotoreflection) Centre of	$m$ $n(=2,3,4,6)$ $\bar{n}(=\bar{1},\bar{2},\text{ etc.})$ $\bar{1}$	$\sigma_{v}, \sigma_{h}$ $C_{n}(C_{2}, C_{3}, \text{etc.})$ $S_{n}(S_{1}, S_{2}, \text{etc.})$ $i$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
Space symmetry	∫ Glide plane ∫ Screw axis	$n, d, a, b, c$ $2_1, 3_1, \text{etc.}$	nies prod <u>o</u> s Positio 2010 - So <u>l</u> assing fil 2011	
				4,

All normal crystals belong to one of 32 possible *point groups* based on the combination of point symmetry elements they possess

#### POINT SYMMETRY

Table 5.2 The seven crystal system	Table	2 The se	even crystal	systems
------------------------------------	-------	----------	--------------	---------

Crystal system	Unit cell shape <sup>†</sup>	Essential symmetry	Space lattices
Cubic	$a = b = c, \ \alpha = \beta = \gamma = 90^{\circ}$	Four threefold axes	P, F, I
Tetragonal	$a = b \neq c, \ \alpha = \beta = \gamma = 90^{\circ}$	One fourfold axis	P. I
Orthorhombic	$a \neq b \neq c, \ \alpha = \beta = \gamma = 90^{\circ}$	Three twofold axes or mirror planes	P, F, I, A(B or C)
Hexagonal	$a = b \neq c, \ \alpha = \beta = 90^{\circ}, \gamma = 120^{\circ}$	One sixfold axis	P
Trigonal (a)	$a = b \neq c, \ \alpha = \beta = 90^{\circ}, \gamma = 120^{\circ}$	One threefold axis	P
(b)	$a = b = c, \ \alpha = \beta = \gamma \neq 90^{\circ}$	One threefold axis	R
Monoclinic*	$a \neq b \neq c$ , $\alpha = \gamma = 90^{\circ}$ , $\beta \neq 90^{\circ}$	One twofold axis or mirror plane	P, C
Triclinic	$a \neq b \neq c$ , $\alpha \neq \beta \neq \gamma \neq 90^{\circ}$	None	P

<sup>\*</sup>Two settings of the monoclinic cell are used in the literature. The one given here, which is most commonly used, and the other a + b + c,  $\alpha = \beta = 90^{\circ}$ ,  $\gamma + 90^{\circ}$ . The symbol + means not necessarily equal to. Sometimes, crystals possess pseudo-symmetry in which, say, the unit cell is geometrically cubic but does not possess the essential symmetry elements for cubic symmetry, and the symmetry is lower, perhaps tetragonal.

Crystals may display rotational symmetries of 1, 2, 3, 4, or 6.
 (other possibilities cannot fill space without voids)

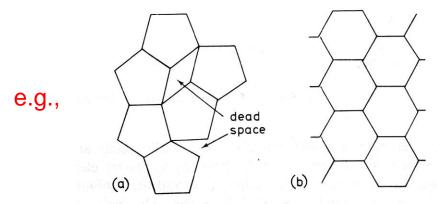
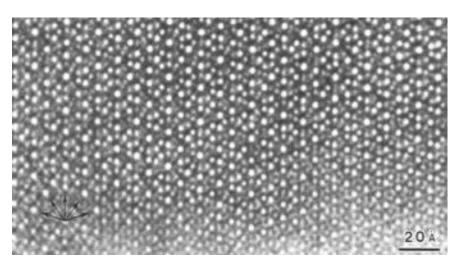


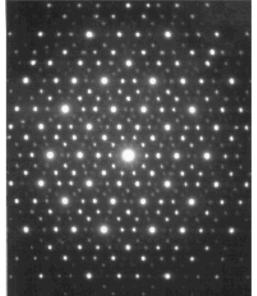
Fig. 5.11 (a) The impossibility of forming a close packed array of pentagons. (b) A close packed layer of hexagons.

## QUASICRYSTALS

Ordered but aperiodic ("quasi-periodic") crystals first discovered in 1982

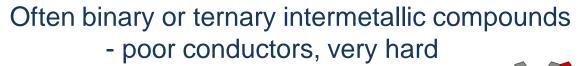


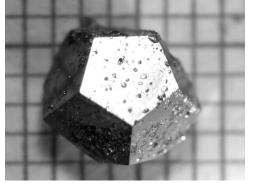
 $Al_{0.86}Mn_{0.14}$  alloy Shechtman et al. *PRL* **53**, 1951 (1984).



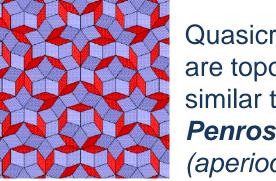
2011 Nobel Prize Chemistry D. Shechtman

10-fold symmetry!





**YMgCd** Ian Fisher



Quasicrystals are topologically similar to Penrose tilings (aperiodic tilings)

## POINT GROUPS

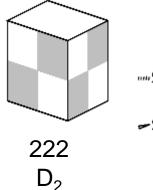
# Point symmetry elements allowed in crystals: 1, 2, 3, 4, 6, 1, 2, 3, 4, 6, m

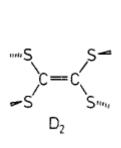
Table 2.4. The 32 Crystallographic Point Groups

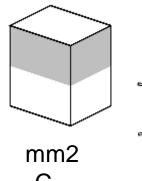
Crystal system (bravais lattices)	Crystallographic point groups <sup>a</sup> (molecular point groups <sup>b</sup> )
Cubic $(P, I, F)$	23, m3, 432, 43m, m3m
	$(T, T_h, O, T_d, O_h)$
Tetragonal $(P, I)$	$4, \bar{4}, 4/m, 422, 4mm, \bar{4}2m, 4/mmm$ $(C_4, S_4, C_{4h}, D_4, C_{4h}, D_{2d}, D_{4h})$
Orthorhombic $(P, C, I, F)$	$(C_4, S_4, C_{4h}, D_4, C_{4v}, D_{2d}, D_{4h})$ 222, $mm2$ , $mmm$ $(D_2, C_{2v}, D_{2h})$
Trigonal/Rhombohedral $(P)$	$3, \bar{3}, 32, 3m, \bar{3}m(C_3, C_{3i}, D_3, C_{3v}, D_{3d})$
Hexagonal $(P)$	$6, \bar{6}, 6/m, 622, 6mm, \bar{6}m2, 6/mmm$
	$(C_6, C_{3h}, C_{6h}, D_6, C_{6v}, D_{3h}, D_{6h})$
Monoclinic $(P, C)$	$2, m, 2/m (C_2, C_s, C_{2h})$
Triclinic $(P)$	$1, \bar{1}(C_1, C_i)$

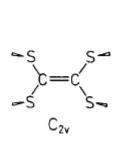
/ denotes a mirror plane perpendicular to the rotation axis

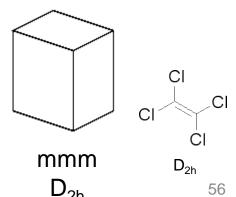
#### 3D representations of the 3 orthorhombic point groups:









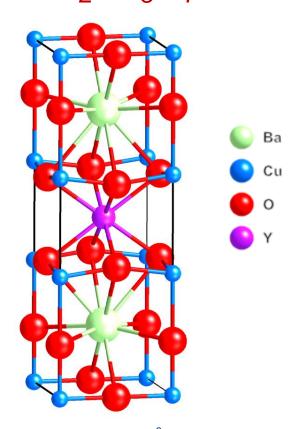


<sup>&</sup>lt;sup>a</sup>The H–M symbolism derived from crystal symmetry operations. For image and movie representations of each point group, see the website: http://neon.mems.cmu.edu/degraef/pg/index.html

<sup>&</sup>lt;sup>b</sup>The analogous Schoenflies symbolism derived from molecular symmetry operations.

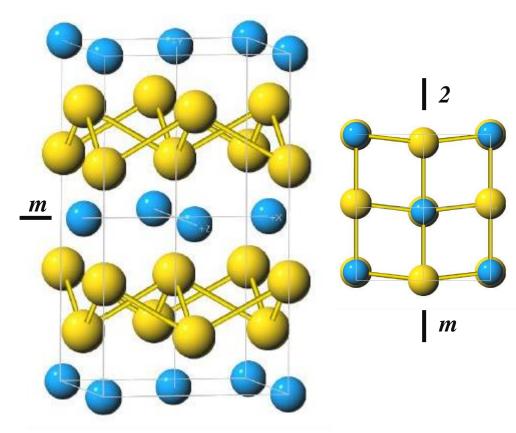
# **EXAMPLES**

# mmm $YBa_{2}Cu_{3}O_{7}$



a = 3.8240 Å b = 3.8879 Åc = 11.6901 Å

# mm2 Au<sub>2</sub>V



• slight buckle eliminates 3<sup>rd</sup> mirror plane

# **CUBIC POINT SYMMETRY**

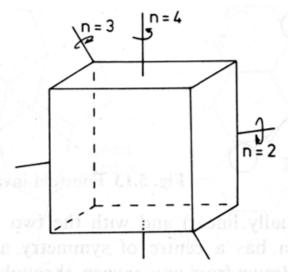
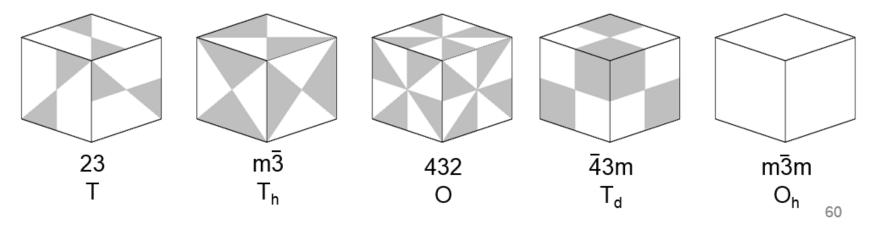


Fig. 5.14 Two-, three- and fourfold axes of a cube

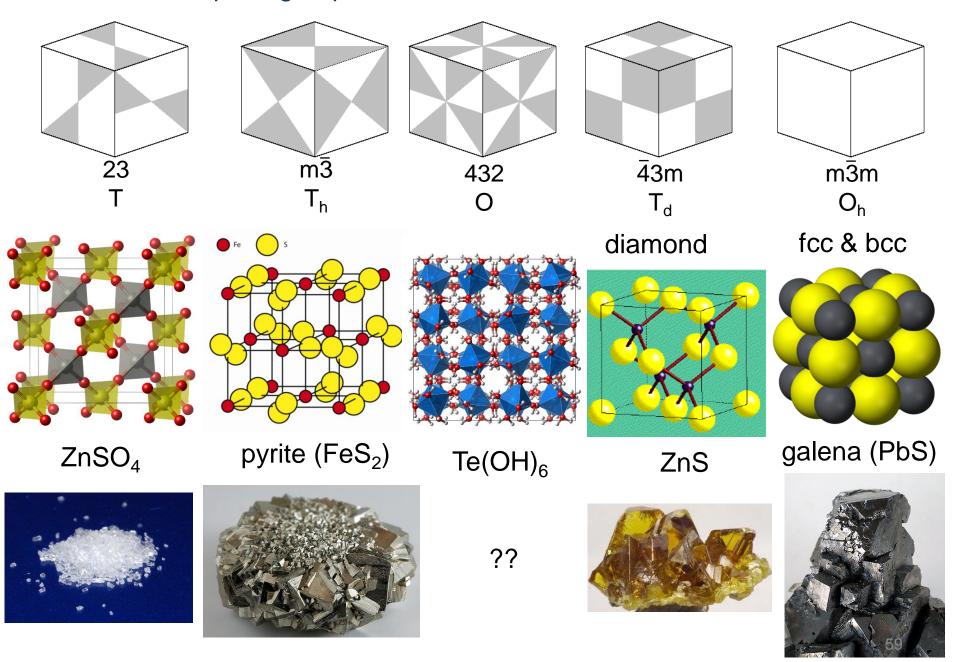
#### Schönflies symbols

	Symbol	Meaning
Classification according to rotation axes and principal	T	4 three- and 3 two-fold rotation axes as in a tetrahedron
mirror planes	0	4 three- and 3 four-fold rotation axes as in an octahedron
Additional symbols for mirror planes	h v d	horizontal=perpendicular to the rotation axis vertical=parallel to the main rotation axis diagonal=parallel to the main rotation axis in the plane bisecting the 2-fold rotation axes

#### The five cubic point groups in 3D:



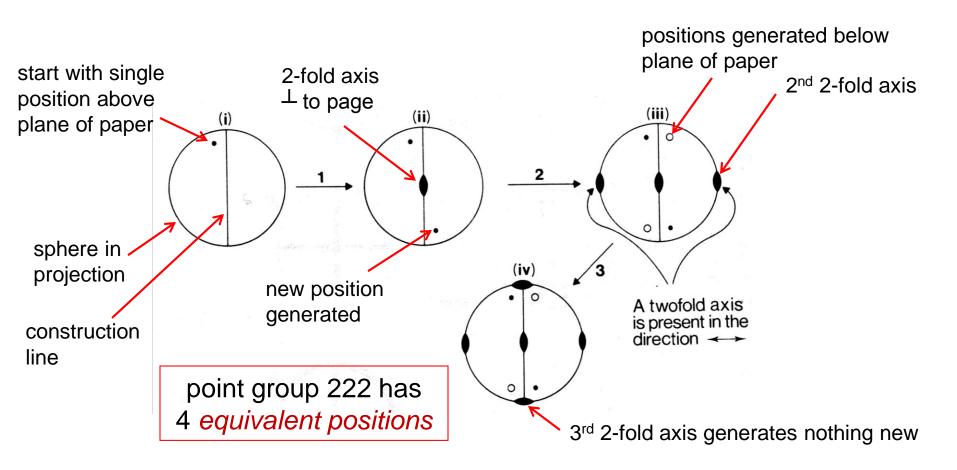
#### The five cubic point groups in 3D:



# **STEREOGRAMS**

Stereograms are semi-archaic representations of point groups, useful in crystallography and geology

Example: construct the stereogram for the 222 point group (3 mutually perpendicular 2-fold axes)

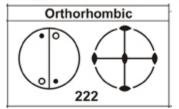


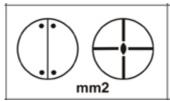
## STEREOGRAM NOTATION

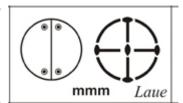
Symmetry element	Written symbol	Graphical symbol
Sanda (1907). Introducia	m to Cr <b>l</b> calography. W	None
Rotation	2	Peliternombie F272
axes	traction 3 leave our comment	groups and trystal sth The perv <b>a</b> kite structur
v seminaci (1981) i j Wilson (1970) Elence	stations are $4$ is of $\lambda$ , $4$ is Crystolius, $\epsilon$ in	the rutile structure, Ti
	6	engrant, Cambridge
Inversion	$\frac{1}{2} (\equiv m)$	None* gni
	$\overline{3} (\equiv 3 + \overline{1})^{\text{Tienther}}$ and should exceed since	terminakon of cryst
axes on a sentwent	dels and good quality	ension Crystal mo
d, however, it is possible orientations if require	$\bar{6}(=3/m)$	basic reciples of springers
Mirror plane	hree-dimensi <b>maai mo</b> c	onstruct one's own

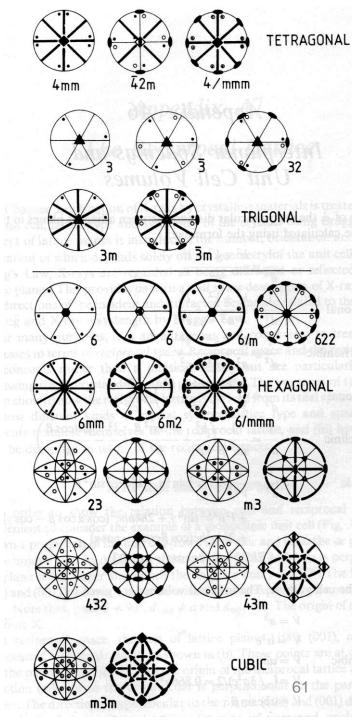
<sup>\*</sup>The inversion axis,  $\overline{1}$ , equivalent to a centre of symmetry, is represented as  $\bigcirc$  in space groups but does not have a formal graphical representation in point groups, even though it is present in many point groups.

<sup>†</sup> The inversion axis 2 does not have a separate graphical symbol other than that of the mirror plane equivalent to it.









## TABLE OF POINT GROUPS

Correspondence between crystallographic and spectroscopic ("normal") notation:

- Hermann-Mauguin for crystallography
- Schönflies for spectroscopy
- 21 out of the 32 have no center of symmetry (centrosym. groups in red)

Н-М	Schönflies	H-M	Schönflies	H-M	Schönflies
1	C <sub>1</sub>	m3	T <sub>h</sub>	6mm	C <sub>6v</sub>
2	$C_2$	2/m	$C_2h$	m3m	$O_h$
222	$D_2$	mmm	$D_{2h}$	<b>4</b> 2m	$D_2d$
4	$C_4$	4/m	$C_4h$	- 62m	$D_3h$
3	$C_3$	32	$D_3$	4/mmm	$D_4h$
6	$C_6$	6/m	C <sub>6h</sub>	6/mmm	$D_6h$
23	Т	432	0		
1	$C_{i}$	422	$D_4$		
m	$C_s$	3m	$C_{3v}$		
mm2	$C_2\mathsf{v}$	622	$D_6$		
<b>4</b>	$S_4$	<b>4</b> 3m	$T_d$		
3	S <sub>6</sub>	4mm	$C_4v$		
<b>-</b> 6	$C_3h$	3m	$D_3d$		

## SPACE SYMMETRY OPERATIONS

finite molecules have point symmetry only

crystals have point symmetry + extra operations that include translation

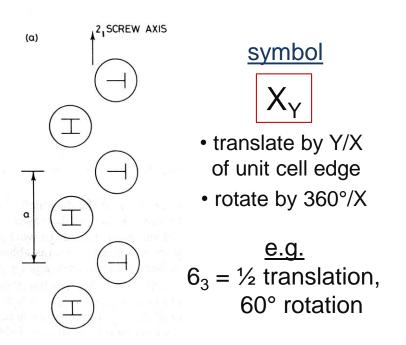
point group + space symmetry elements → space group

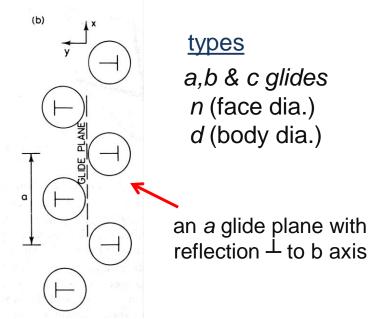
## Screw axis

• translation and rotation along the same axis

# Glide plane

• translation and reflection in a parallel plane





## INTERNATIONAL SPACE GROUP SYMBOLS

All periodic crystals can be classified into one of 230 possible space groups, which summarize their crystal system, lattice, and point and space symmetries

14 Bravais lattices + 32 crystall. point groups + space ops.  $\rightarrow$  230 space groups

The first letter in the int'l symbol refers to Bravais lattice type:

P (primitive); F (face-centered); I (body centered); A,B, or C (base centered)

The remaining characters are the H-M symbols (with space symmetry added and ordered from most important axis to least important axes, *or* xyz)

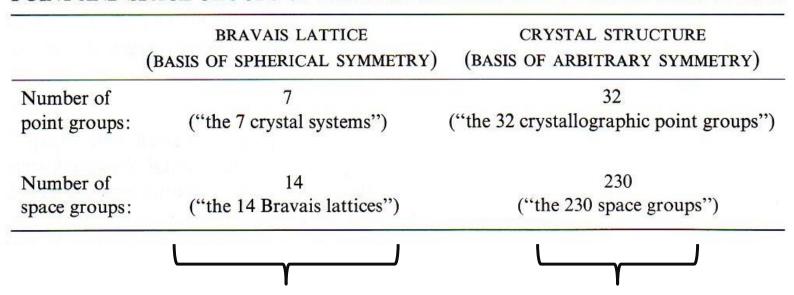
quartz: P3<sub>1</sub>21 – primitive trigonal with 3<sub>1</sub> screw axis and 2-fold rotation axis

diamond: Fd3m – FCC with glide planes along the body diags. and 3-fold rotoinversion axes

CaTiO<sub>3</sub>: Pnma – primitive orthorhombic with an n-glide plane  $\perp$  to x-axis, a mirror plane  $\perp$  to y-axis, and an a-glide plane  $\perp$  to c axis

## CONCEPTUAL RELATIONSHIPS

#### POINT AND SPACE GROUPS OF BRAVAIS LATTICES AND CRYSTAL STRUCTURES



groups possible when basis is of maximum symmetry (a sphere on each lattice point)

groups possible when basis can have arbitrary symmetry

# SELECT SPACE GROUPS

	23	P23, F23, I23, P2 <sub>1</sub> 3, I2 <sub>1</sub> 3
	m3	Pm3, Pn3, Fm3, Fd3, Im3, Pa3, Ia3
Cubic (36)	432	P432, P4 <sub>2</sub> 32, F432, F4 <sub>1</sub> 32, I432, P4 <sub>3</sub> 32, P4 <sub>1</sub> 32, I4 <sub>1</sub> 32
	43m	P43m, F43m, I43m, P43n, F43c, I43d
	m3m	Pm3m, Pn3n, Pm3n, Pn3m, Fm3m, Fm3c, Fd3m, Fd3c, Im3m, Ia3d
	6	P6, P6 <sub>1</sub> , P6 <sub>5</sub> , P6 <sub>2</sub> , P6 <sub>4</sub> , P6 <sub>3</sub>
	6	P <del></del> <del></del> 6
-	6/m	P6/m, P6 <sub>3</sub> /m
Hexagonal (27)	622	P622, P6 <sub>1</sub> 22, P6 <sub>5</sub> 22, P6 <sub>2</sub> 22, P6 <sub>4</sub> 22, P6 <sub>3</sub> 22
-	6mm	P6mm, P6cc, P63cm, P63mc
-	<del>6</del> m2	P6m2, P6c2, P62m, P62c
•	6/mmm	P6/mmm, P6/mcc, P6 <sub>3</sub> /mcm, P6 <sub>3</sub> /mmc
Orthorhombic	mm2	Pmm2, Pmc2 <sub>1</sub> , Pcc2, Pma2, Pca2 <sub>1</sub> , Pnc2, Pmn2 <sub>1</sub> , Pba2, Pna2 <sub>1</sub> , Pnn2, Cmm2, Cmc2 <sub>1</sub> , Ccc2, Amm2, Aem2, Ama2, Aea2, Fmm2, Fdd2, Imm2, Iba2, Ima2
(59)	mmm	Pmmm, Pnnn, Pccm, Pban, Pmma, Pnna, Pmna, Pcca, Pbam, Pccn, Pbcm, Pnnm, Pmmn, Pbcn, Pbca, Pnma, Cmcm, Cmce, Cmmm, Cccm, Cmme, Ccce, Fmmm, Fddd, Immm, Ibam, Ibca, Imma

find the rest @ http://en.wikipedia.org/wiki/Space\_group

# CRYSTAL SYMMETRY SUMMARY

Crystal family	Crystal system	Required symmetries of point group	point groups	space groups	bravais lattices	Lattice system
Triclinic		None	2	2	1	Triclinic
Mone	oclinic	1 twofold axis of rotation or 1 mirror plane	3	13	2	Monoclinic
Orthorhombic		3 twofold axes of rotation or 1 twofold axis of rotation and two mirror planes.	3	59	4	Orthorhombic
Tetra	agonal	1 fourfold axis of rotation	7	68	2	Tetragonal
				7	1	Rhombohedral
Hexagonal	Trigonal	1 threefold axis of rotation	1 threefold axis of rotation 5	18	4	
	Hexagonal	1 sixfold axis of rotation	7	27	1	Hexagonal
Cı	ıbic	4 threefold axes of rotation	5	36	3	Cubic
Total: 6	7		32	230	14	7